### The Challenges and Opportunities for Healthcare Recommendation Systems in a Rapidly Evolving Health Data Ecosystem.

Mohammad M. Ghassemi, Ph.D.



### About Me

#### **Education:**

• PhD, Computer Science, MIT

### **Occupation:**

- Founder, Ghamut Corp.
- Prof. Michigan State Uni.



### http://ghassemi.xyz

### More Importantly



1967: 1MB = \$1 Million 2017: 1MB = \$0.02

As costs diminished, storing data became more cost-effective than managing or curating it and modern-day "Big Data" was born



https://www.computerworld.com/article/3182207/data-storage/cw50-data-storage-goes-from-1m-to-2-cents-per-gigabyte.html

More data and computation power led to resurgence of neural network approaches ("Deep Learning")

Deep methods proved capable of automatically learning data representations that outperformed feature-engineering approaches in key areas: vision, speech, translation



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There is increasing interest in Big Data, Machine Learning and Artificial Intelligence in the health and behavior contexts



#### Model can more naturally detect depression in conversations

Neural network learns speech patterns that predict depression in clinical interviews.

Rob Matheson | MIT News Office August 29, 2018

Press Inguiries PRESS MENTIONS

Healthcare spending at almost 20% of GDP in the US and automation may help reduce costs, while improving quality of care.

Health data is being collected at a unprecedented scale and resolution



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If you use MIMIC data or code in your work, please cite the following publication:

MIMIC-III, a freely accessible critical care database. Johnson AEW, Pollard TJ, Shen L, Lehman L, Feng M, Ghassemi M, Moody B, Szolovits P, Celi LA, and Mark RG. Scientific Data (2016). DOI: 10.1038/sdata.2016.35. Available from: http://www.nature.com/articles/sdata201635

#### **Technical Challenges**

For health/behavioral applications methods must be: Interpretable, generalizable and support (not control) decisions

'Deep' techniques are powerful, but there is still room for growth





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#### **Practical Opportunities**

Current health data enable *reactionary* optimization of care

Using data outside the hospital (social + wearable), we may clarify causal factors of disease, and allow for *proactive* approaches



#### Today's Talk

**1. Data collection:** Tool to translate paper data from hospital spreadsheets into digital form

#### 2. Decision support:

Method to prognosticate coma outcomes after cardiac arrest

#### **3. Optimal Control:**

Al for passive monitoring of narrative mood during conversations

#### 4. Moving Forward

Future directions, and opportunities for collaboration

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## An Open-Source Tool for the Automated Transcription of Paper Spreadsheet Data

As presented in IEEE Big Data 2017

3000 B.C.: Sumerians kept clay records on property, transactions, and marriages



1600 B.C.: Egyptians kept medical records on prominent patients



2017 AD: Hospitals keep records on just about everything



2017 AD: So much interesting information is still locked within paper records



#### **Importance:**

90% of US hospitals still maintain paper archives

Data transcription costs time and money, and transcribing sensitive patient data costs **even more** 



A.I. is an ideal solution:

1. Cheap

2. Scalable

3. Maintains privacy



1. data is heterogeneous <u>within</u> spreadsheets: different ink, cell colors, handwriting

2. data is heterogeneous *across* spreadsheets: different formats, cell size

3. data often breaks 'rules': circling, cross through, underlining, spilling outside borders, etc.



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#### **Objectives:**

1. Build an open source tool to extract data using <u>crowd-</u> <u>sourcing</u> and <u>machine-</u> <u>learning</u>

2. Test our tool on a heterogeneous set of 139 medical flowsheets, containing ~36K cells of data

3. Work with collaborators to improve the tool

1.9	24	32
14.2	17.9	0.05
	145	697
1.9	2.4	32
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## How the Tool Works: 4 Steps

**Step 1:** the extraction of cell images from the spreadsheet grid

**Step 2:** machine recognition of digits within the cells

**Step 3:** human transcription of cells with challenging content

**Step 4:** feedback of human transcription results to the machine



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The user takes a photo of a spreadsheet they wish to transcribe



The software assumes that images are aligned



The images are adaptively filtered to remove color and shading

Retain the largest connected component (the gridlines)



# The image is then cut into strips



Within a given strip, the Hough image transform is applied to identify the location of near vertical line segments



The number of spreadsheet columns are estimated based on the number of Hough peak clusters


K-mediods is applied to the Hough peaks to identify the location of the column-lines, within the strip



This process is repeated for all strips



This leaves us with a set of points that represent the location of the line within each strip



This leaves us with a set of points that represent the location of the line within each strip





We identify the closest neighboring points above, and below, within a search window



This allows us to approximate the location of the column lines



The same process may be performed to estimate the location of the row lines

The intersection of the row and column lines identify the borders of each cell image



Allowing us to extract the images, for further processing

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Once the cells are extracted, we classify their contents

32 74 17.9 4.2 0.05 97

To do this, we extract digits from the cells



Digits are extracted to be uniformly sized, so they are compatible with popular machine learning approaches



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After digits are extracted, we deploy a Multinomial Support Vector Machine with histogram of oriented gradient features (HoG) for classification





The algorithm was trained using the MNIST dataset

Con	fusion	matrix	X							
digit	:   0	1	2	3	4	5	6	7	8	9
0	0.94	0.00	0.00	0.00	0.00	0.02	0.01	0.00	0.00	0.02
1	0.00	0.99	0.00	0.00	0.00	0.00	0.00	0.00	0.01	0.00
2	0.00	0.00	0.94	0.01	0.00	0.00	0.01	0.02	0.00	0.03
3	0.00	0.00	0.01	0.95	0.00	0.04	0.00	0.00	0.00	0.00
4	0.00	0.01	0.00	0.00	0.88	0.00	0.00	0.00	0.01	0.10
5	0.00	0.00	0.00	0.00	0.00	0.98	0.00	0.01	0.01	0.00
6	0.03	0.00	0.00	0.00	0.00	0.00	0.95	0.00	0.01	0.00
7	0.00	0.01	0.02	0.01	0.00	0.00	0.00	0.93	0.00	0.03
8	0.03	0.00	0.02	0.00	0.01	0.00	0.01	0.02	0.84	0.06
9	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.04	0.00	0.96

The algorithm classifies digits, according to their multinomial probability



For some digits, it will have high confidence



For other digits, it will have low confidence



Cells containing digits above the confidence threshold are transcribed by the machine

7.9

32



**Step 1:** the extraction of cell images from the spreadsheet grid

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### Human Transcription

Cells that contained digits beneath a confidence threshold are marked for the crowd annotation



### Human Transcription

By doing crowd annotation one cell at a time, patient privacy is protected!





**Step 1:** the extraction of cell images from the spreadsheet grid

**Step 2:** machine recognition of digits within the cells

Step 3: human transcription of cells with challenging content



**Step 1:** the extraction of cell images 3 from the spreadsheet grid Step 2: machine recognition of digits within the cells **Step 3:** human transcription of cells with challenging content Step 4: feedback of human transcription results to the machine

**Step 1:** the extraction of cell images from the spreadsheet grid

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The algorithm is then retrained using the new annotations from the crowd workers



# Key Results + Cost Comparison

### Grid Line Extraction

An example of a spreadsheet image transcribed by the software

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### **Grid Line Extraction**

The grid-line extraction accounts for nonuniformities in: lighting, bends in paper, cell size, etc.

In our collected data, 93% of grid lines were accurately identified





At a digit confidence threshold of 99%, cell contents are correctly classified in 90% of cases

Our tool was half the price and 11.4 times faster than a clinical research assistant

A lower bound for savings using this approach is 5.6%



After crowd feedback, the tool's classification performance improved further

At the 80% confidence threshold, we observed a 10% improvement in accuracy

The lower bound for savings using this approach was 10%
**Todays Talk:** 

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# Life After Death: Techniques for the rapid prognostication of post-anoxic coma patients

MIT Press (2018)

## Cardiac arrest affects 320K people in the US annually



## 128K patients are successfully resuscitated



#### 100K enter an indefinite, anoxia-induced coma



## 10K will survive, but only 5K will regain normal function



## Outcome metric

Cerebral Performance Category	$\begin{array}{c} \text{Conscious} \\ (\text{Y/N}) \end{array}$	Cerebral Disability	Consequences
1	Y	Mild	May resume independent activities with minimal complications
2	Υ	Moderate	May resume independent activities while requiring some assistance
3	Υ	Severe	Will require assistance to perform activities and may involve paralysis, or dementia.
4	Ν	Vegetative	Requires assistance to survive and will be minimally aware/responsive
5	Ν	Brain-Death	Requires assistance to survive and will be totally unaware/response

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4	Ν	Vegetative	Requires assistance to survive and will be minimally aware/responsive	Bad
5	Ν	Brain-Death	Requires assistance to survive and will be totally unaware/response	

## Goals of prognostication

- **Primary:** Prevent premature withdrawal care
- Secondary: Prevent unnecessary care (up to \$20,000 per day in ICU)

# **Current Prognostic Guidelines**

- A sequence of clinical observations and auxiliary tests performed at specific time points following cardiac arrest
- Accurate in predicting poor neurological outcomes when severe deficits are present (FPR < 1%)</li>
- No guidance in cases where such clearcut signs are lacking, or under varying protocols (e.g. therapeutic hypothermia)



The American Academy of Neurology

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Pain-related SSEP Zanatta, 2015 AUC = 0.84 at 72 hours N = 167



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#### Conclusions

• Limited Sample Sizes: larger samples are needed

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- Time Specific: prediction should be possible at all points in time
- Classification focused: risk scoring may be better
- EEG alone can provide state-of-the-art performance



1. 'Bigger' data sets

**2.** Time-sensitive



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#### Data collection



1. 'Bigger' data sets 2

**2.** Time-sensitive

**EEG Collection and Interpretation** 

- Each EEG electrode records an **ensemble** of cellular activity near the location of the electrode
- Electrode Placement was in accordance with the International 10-20 system



Electroencephalogram (EEG)

#### EEG Collection and Interpretation

- Each EEG electrode records an **ensemble** of cellular activity near the location of the electrode
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- 5 contributing institutions
- 785 unique patients
- 7 terabytes of data



Cumulative Data Size (Terrabytes)

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Cumulative Data Size (Terrabytes)

- 5 contributing institutions
- 785 unique patients
- 7 terabytes of data
- Over 2x larger than existing archives described in the literature



Cumulative Data Size (Terrabytes)

# EEG temporal properties

- Data densities linearly decrease over time
- EEG withdrawal is assessed approximately once every 24 hours



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# What about the clinical data?



## **Clinical Characteristics**

Clinical Feature (Mean)	Good Outcomes	Bad Outcomes
Age (Years)	57	63
Gender (% Male)	68	68
ROSC (Mins)	19.47	25.7
Rhythm at Arrest (%)		
VFib	69	34
Other (PEA / Asystole)	25	61
Unknown	6	5
Cause of Arrest (%)		
Pulmonary	50	34
Anesthesia	3.6	8.5
Neurologic	9.6	13.8
Other/Unknown (%)	36.8	43.7
Arrest Location		
In Hospital (%)	9	11
Out of Hospital (%)	63	56
Unknown (%)	28	33

#### **Data Collection**



1. 'Bigger' data sets2. Time-sensitive3. Models that assess ris

#### **Data Collection**



1. Collected an EEG archive 2x larger than largest set previously described in the literature

2. Time-sensitive

3. Models that assess risk

#### Deploy time-sensitive modeling approaches



1. Collected an EEG archive 2x larger than largest set previously described in the literature 2. Time-sensitive

3. Models that assess risk



## 2. Identify artifacts in five second epochs

- 2.1 Disconnects and saturation
- 2.2 Eye and muscle artifact
- 2.3 Moment-based artifacts



- 3. Choose five minute epochs with minimal artifact
- 3.1 Generate artifact score
- 3.2 Identify cleanest epochs in each hour of data





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![](_page_119_Picture_0.jpeg)

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![](_page_119_Picture_5.jpeg)

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  - 3.1 Generate artifact score
  - 3.2 Identify cleanest epochs in each hour of data

![](_page_119_Picture_9.jpeg)

• Complexity (21 features)

• Category (24 features)

• Connectivity (7 features)

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- Complexity (21 features) e.g. Shannon Entropy
- Category (24 features)

Connectivity (7 features)

#### More is considered good

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T4-T6					

• Complexity (21 features)

• Category (24 features)

• Connectivity (7 features)

![](_page_122_Figure_4.jpeg)

• Complexity (21 features)

- Category (24 features) e.g. Burst Suppression
- Connectivity (7 features)

![](_page_123_Figure_4.jpeg)

• Complexity (21 features)

- Category (24 features) e.g. Burst Suppression
- Connectivity (7 features)

![](_page_124_Figure_4.jpeg)

• Complexity (21 features)

• Category (24 features)

• Connectivity (7 features)

![](_page_125_Figure_4.jpeg)

• Complexity (21 features)

• Category (24 features)

• Connectivity (7 features) e.g. cross correlation

![](_page_126_Figure_4.jpeg)

The mean and standard error of three features for the study population, partitioned by outcome

![](_page_127_Figure_2.jpeg)

Shannon Entropy (a measure of complexity) distinguishes 'Good' and 'Bad' consistently

![](_page_128_Figure_2.jpeg)

Regularity (a measure of burst-suppression) distinguishes 'Good' and 'Bad' earlier

![](_page_129_Figure_2.jpeg)

Cross correlation (a measure of complexity) distinguishes 'Good' and 'Bad' later

![](_page_130_Figure_2.jpeg)

# Conclusion

- The relationship between features and outcomes changes over time
- Suggests a modelling approach where coefficients evolve over time

• Data is split into 10 training and testing folds.

![](_page_132_Figure_2.jpeg)

- We train a series of models that classify patient outcomes, in particular time intervals:
  - 1-12 hours13-24 hours25-36 hours etc.

![](_page_133_Figure_3.jpeg)

- Features are extracted at particular time intervals
- 1-12 hours

![](_page_134_Figure_3.jpeg)

- Features are extracted at particular time intervals
- 13-24 hours

![](_page_135_Figure_3.jpeg)

- Features are extracted at particular time intervals
- 25-36 hours, and so on...

![](_page_136_Figure_3.jpeg)

 Features used by models in earlier time intervals are passed forward as 'memories' for models in future time intervals

![](_page_137_Figure_2.jpeg)

 Features used by models in earlier time intervals are passed forward as 'memories' for models in future time intervals

![](_page_138_Figure_2.jpeg)

 Features used by models in earlier time intervals are passed forward as 'memories' for models in future time intervals

![](_page_139_Figure_2.jpeg)

- We retain only the most important features using Elastic Net
- Penalizes the size of the regression coefficients based on both their l<sup>1</sup> norm and their l<sup>2</sup> norm :

$$argmax_{\beta}\sum_{i} \log L(y_{i};\beta,x_{i}) - \lambda[\alpha ||\beta||_{1} + \frac{1}{2}(1-\alpha) ||\beta||_{2}^{2}]$$

![](_page_140_Figure_4.jpeg)

 A logistic regression model with the selected features is used to evaluate performance on the held out test-sets

![](_page_141_Figure_2.jpeg)

- A logistic regression model with the selected features is used to evaluate performance on the held out test-sets
- This process was designed to mimic how actual providers perform prognosis

![](_page_142_Figure_3.jpeg)

10%

#### Deploy time-sensitive modeling approaches

![](_page_143_Figure_1.jpeg)

1. Collected an EEG archive 2x larger than largest set previously described in the literature 2. Time-sensitive

3. Models that assess risk
## Deploy time-sensitive modeling approaches



- 1. Collected an EEG archive 2x larger than largest set previously described in the literature
- Logistic Regression 3. Models that assess risk with Elastic Memories and ~10x the features used in prior work

## Assessing performance: classification and calibration



- 1. Collected an EEG archive 2x larger than largest set previously described in the literature
- Logistic Regression 3. Models that assess risk with Elastic Memories and ~10x the features used in prior work

## Classification

## Classification

- Our approach exhibited enhanced classification performance compared to the literature baseline
- Improvement was most pronounced at later time intervals



## Calibration

- Our approach exhibited enhanced calibration compared to the literature baseline
- This allows for a more nuanced use of the model, compared to existing approaches





## Exemplary Patients







## Exemplary Patients





## Exemplary Patients





Patients



Patients



## Assessing performance: classification and calibration



- 1. Collected an EEG archive 2x larger than largest set previously described in the literature
- 2. Logistic Regression with Elastic Memories and ~10x the features used in prior work
- 3. Models that assess risk

## Assessing performance: classification and calibration



- 1. Collected an EEG archive 2x larger than largest set previously described in the literature
- 2. Logistic Regression with Elastic Memories and ~10x the features used in prior work
- **3.** Our model had superior calibration and classification performance compared to state-of-the-art approaches

## Conclusion

• A model that accounts for temporal fluctuations in feature values is better at prognosis, and better calibrated than models which do not

## Acknowledgements and Thanks

**Contributors:** Edilberto Amorim Tuka Alhanai Jong Woo Lee Michel van Putten Jeannette Hofmeijer Adithya Sivaraju Nicolas Gaspard Barry Ruijter Siddharth Biswal Valdery Moura Junior Michael Donnino Susan Herman

Prof. Roger G. Mark

**Prof. Emery N. Brown** 

Dr. M. Brandon Westover

**Prof. Thomas Heldt** 

**Todays Talk:** 

**1. Data collection:** Tool to translate paper data from hospital spreadsheets into digital form

2. Decision support:

Method to prognosticate coma outcomes after cardiac arrest

## **3. Optimal Control:**

Al for passive monitoring of narrative mood during conversations

4. Moving Forward

Future directions, and opportunities for collaboration

# Personalized Medication Dosing Using Volatile Data Streams

AAAI (2018)

# Personalized medicine: A brief history

- 460BC: Personalized medicine was envisioned by Hippocrates
- 1990-2003: A surge of interest in personalized medicine following the human genome project
- 2017: FDA approves record number of personalized medicines

Allen Frances, M.D., Contributor Allen Frances MD is Professor Emeritus of Psychiatry and former Chair at Duke University

### Patient-Centered Vs. Lab-Centered 'Personalized Medicine'

07/24/2017 01:12 pm ET | Updated Jul 24, 2017



"It is more important to know the patient who has the disease than the disease the patient has." — Hippocrates

Allen Frances, MD, Professor of Psychiatry, Duke University

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## PERSONALIZED MEDICINE COALITION

### NEWS

FDA Approves Record Number of Personalized Medicines in 2017

# But what is "personalization"

# Personalized medicine: Two approaches

- Static personalization is often performed at the level of demography (e.g. gender, weight)
- Dynamic personalization begins with demography, and becoming more patient-specific as better data and responses to treatment are collected (e.g. anesthesia control)

## Drug Dosage Recommendations<sup>1</sup> (3)

	D	ose li	n mg/	kg (maximum	dosage in par	entheses)	
Drug	Adults/Children <sup>2</sup>			Daily	1 time/week <sup>3</sup>	2 times/ week <sup>3</sup>	3 times/ week <sup>3</sup>
EMB4	Adults	W e i g h t	40- 55 kg	14.5-20 mg/kg (800 mg)		36.4-50 mg/kg (2000 mg)	21.8-30 mg/kg (1200 mg)
			56- 75 kg	16-21.4 mg/kg (1200 mg)		37.3-50 mg/kg (2800 mg)	26.7-35.7 mg/kg (2000 mg)
			76- 90 kg	17.8-21.1 mg/kg (1600 mg)		44.4-52.6 mg/kg (4000 mg)	26.7-31.6 mg/kg (2400 mg)
	Children			15-20 mg/kg (1000 mg)		50 mg/kg (2500 mg)	

Ethambutol Dosing Suggestions

# Personalized medicine: Two approaches

- Static personalization is often performed at the level of demography (e.g. gender, weight)
- Dynamic personalization begins with demography, and becoming more patient-specific as better data and responses to treatment are collected (e.g. anesthesia control)



Source: Medsteer, http://medsteer.com/

# Personalized medicine: Needs deployable approaches

- Patients and providers have been slow to adopt personalized medicines, or alter established behaviors
- Solutions must work under realworld, imperfect conditions
- Translational impact will require interpretable approaches that integrate with provider and patient workflows to address <u>high-value</u> problems

## The Limits of Personalized Medicine

A new study suggests that knowing their genetic risk of disease doesn't motivate people to change their behavior.

TIMOTHY CAULFIELD | MAR 16, 2016 |

HEALTH



Now personalized genetic medicine offers tests to avoid dangerous drug reactions—yet doctors are reluctant to use them

# Personalized medicine: Needs deployable approaches

- Patients and providers have been slow to adopt personalized medicines, or alter established behaviors
- Solutions must work under realworld, imperfect conditions
- Translational impact will require interpretable approaches that integrate with provider and patient workflows to address <u>high-value</u> problems

**Missing Data** 



Artifacts

# Personalized medicine: Needs deployable approaches

- Patients and providers have been slow to adopt personalized medicines, or alter established behaviors
- Solutions must work under realworld, imperfect conditions
- Translational impact will require interpretable approaches that integrate with provider and patient workflows to address <u>high-value</u> problems



Problem

Personalized medicine: High value problem

- Medication dosing
- Errors are responsible for ~400,000 preventable hospital deaths each year
- Over- or under- dosing can
  - Extended hospital stay,
  - Require follow-up interventions,
  - Incur additional morbidity.



Personalized medicine: High value problem

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# Personalized medicine: Our study goal

- A personalized medication dosing policy for a common anticoagulant, heparin
- Provide an **initial dose** based on static demographics
- Provide subsequent doses based on real-time, noisy data stream



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# Methods

#### The data

- We extracted 4,470 patients from MIMIC who received intravenous UFH infusions during their ICU stay
- MIMIC is a de-identified, publicly available EMR archive of 40,000+ unique ICU admissions between 2001 -2016.



If you use MIMIC data or code in your work, please cite the following publication:

MIMIC-III, a freely accessible critical care database. Johnson AEW, Pollard TJ, Shen L, Lehman L, Feng M, Ghassemi M, Moody B, Szolovits P, Celi LA, and Mark RG. Scientific Data (2016). DOI: 10.1038/sdata.2016.35. Available from: http://www.nature.com/articles/sdata201635

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#### The outcome

- Clinicians dose heparin, wait 6-12 hours, measure anticoagulation, then adjust dose as needed
- Goal is to obtain a therapeutic level of anticoagulation as quickly as possible, as indicated by aPTT
- aPTT may be categorized into one of three states: therapeutic, sub-therapeutic, and supra-therapeutic

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 We extracted all features that are believed to confound the relationship between UFH and aPTT

Features	Moon	Standard	Missing
(N = 9684)	wiean	Deviation	Data (%)
Static Features			
Age	68.01	14.91	0.00
Gender (%Male)	58	-	0.00
ICU Type (%Surgical)	35	-	0.00
Ethnicity (%White)	69	-	0.00
End Stage Renal Disease (%)	3	-	0.00
Pulmonary Embolism (%)	9	-	0.00
<b>Continuously Measured Features</b>			
Heparin Dose (units/kg)	11.79	4.11	6.88
White Blood Cell Count	12.26	6.35	6.23
Creatinine	1.58	1.48	5.18
Carbon Dioxide	24.61	4.67	5.69
Heart Rate (Mean)	84.81	17.12	0.01
Glasgow Coma Score	12.40	3.63	0.02
Hematocrit	31.50	4.65	4.27
Hemoglobin	10.63	1.66	6.45
Platelet Count	226.76	118.29	5.10
Urea	31.72	23.45	6.03
Temperature (F)	98.28	2.71	7.05
International Normalized Ratio	1.50	1.10	7.03
Prothrombin Time	15.22	3.99	0.12
Peripheral Capillary Oxygen Saturation	97.24	2.65	0.01

 Static features are single measures that don't change over time

Features (N= 9684)	Mean	Standard Deviation	Missing Data (%)
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 Static features are single measures that don't change over time

• Age, gender, etc.

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- Static features are single measures that don't change over time
- These features are routinely collected (no missing data)

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 Continuously measured features change over time

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- Continuously measured features change over time
- Heparin dose is one of these features

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 Continuously measured features change over time

Among several

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- Continuously
  measured features
  change over time
- The value of these features are occasionally missing, or for some patients unmeasured

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- Multinomial logistic regression (MNR) where model features and parameters are reestimated for each patient, at each aPTT draw using a weighted combination of the data from
  - a population of existing patients, and
  - the individual patient's realtime data stream



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State	Interval	Individual	Population
s	n	i	p
Data	Samples	Feature	s Outcome
$X_i^n$	$r_i^n$	$c_i^n$	$\mathbf{y}_{i}^{n}$
$X_p^n$	$r_p^n$	$c_i^n$	$\mathbf{y}_p^n$
Parameters	; Data I	row (p)	Data row (i)
$\theta^n_{i,s}$	$\mathbf{x}_p^{(k)}$	$y_p^{(k)}$ y	$\mathbf{x}_{i}^{(j)}y_{i}^{(j)}$
$lpha \gamma$	weightir	ng hyper-pa	rameters

Multinomial Logistic Regression, at each interval

$$p(y_i^n = s | \mathbf{x}_i^n, \theta_i^n) = \frac{e^{\mathbf{x}_i^{\mathsf{T}} \theta_{i,s}^n}}{\sum_{k=1}^3 e^{\mathbf{x}_i^{\mathsf{T}} \theta_{i,k}^n}}$$

Where likelihood is a weighted combination of *p* and *i* data

$$\mathcal{L}(\theta_i^n) = \prod_{j=1}^{r_i^n} p(y_i^{(j)} | \mathbf{x}_i^{(j)}, \theta_i^n)^{\phi(n)} \times \prod_{k=1}^{r_p} p(y_p^{(k)} | \mathbf{x}_p^{(k)}, \theta_i^n)^{\phi(n)}$$

Population versus individual data weight is time-dependent

$$\phi(n) = \frac{\alpha}{1 + e^{-(\gamma p + \gamma_1 * n)}}$$

State	Interval	Individual	Population
s	n	i	p
Data	Samples	Feature	s Outcome
$X_i^n$	$r_i^n$	$c_i^n$	$\mathbf{y}_{i}^{n}$
$X_p^n$	$r_p^n$	$c_i^n$	$\mathbf{y}_p^n$
Parameters	5 Data	row (p)	Data row (i)
$\theta_{i,s}^n$	$\mathbf{x}_p^{(k)}$	$y_p^{(k)}$ y	$\mathbf{x}_{i}^{(j)}y_{i}^{(j)}$
$lpha \gamma$	weightir	ng hyper-pa	rameters

Multinomial Logistic Regression, at each interval

$$p(y_i^n = s | \mathbf{x}_i^n, \theta_i^n) = \frac{e^{\mathbf{x}_i^{\mathsf{T}} \theta_{i,s}^n}}{\sum_{k=1}^3 e^{\mathbf{x}_i^{\mathsf{T}} \theta_{i,k}^n}}$$

Where likelihood is a weighted combination of *p* and *i* data

$$\mathcal{L}(\theta_i^n) = \prod_{j=1}^{r_i^n} p(y_i^{(j)} | \mathbf{x}_i^{(j)}, \theta_i^n)^{\phi(n)} \times \prod_{k=1}^{r_p} p(y_p^{(k)} | \mathbf{x}_p^{(k)}, \theta_i^n)$$

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State	Interval	Individual	Population
s	n	i	p
Data	Samples	Feature	s Outcome
$X_i^n$	$r_i^n$	$c_i^n$	$\mathbf{y}_{i}^{n}$
$X_p^n$	$r_p^n$	$c_i^n$	$\mathbf{y}_p^n$
Parameters	s Data ı	row (p)	Data row (i)
$\theta^n_{i,s}$	$\mathbf{x}_p^{(k)}$	$y_p^{(k)}$ ,	$\mathbf{x}_{i}^{(j)}y_{i}^{(j)}$
$lpha \gamma$	weightin	ng hyper-pa	rameters

Multinomial Logistic Regression, at each interval

$$p(y_i^n = s | \mathbf{x}_i^n, \theta_i^n) = \frac{e^{\mathbf{x}_i^{\mathsf{T}} \theta_{i,s}^n}}{\sum_{k=1}^3 e^{\mathbf{x}_i^{\mathsf{T}} \theta_{i,k}^n}}$$

Where likelihood is a weighted combination of *p* and *i* data

$$\mathcal{L}(\theta_i^n) = \prod_{j=1}^{r_i^n} p(y_i^{(j)} | \mathbf{x}_i^{(j)}, \theta_i^n)^{\phi(n)} \times \prod_{k=1}^{r_p} p(y_p^{(k)} | \mathbf{x}_p^{(k)}, \theta_i^n)^{\phi(n)}$$

Population versus individual data weight is time-dependent

$$\phi(n) = \frac{\alpha}{1 + e^{-(\gamma p + \gamma_1 * n)}}$$

P(supra) increases wrt dose; P(sub) decreases wrt dose; P(ther) is maximum when:

$$\frac{1}{1+e^{-(\beta_{i,o}^n d_i^n + \kappa_{i,o}^n)}} = \frac{1}{1+e^{-(\beta_{i,u}^n d_i^n + \kappa_{i,u}^n)}}, \quad find \ d_i^n$$

Yielding:  $d_i^n = rac{\kappa_{i,u}^n - \kappa_{i,o}^n}{eta_{i,o}^n - eta_{i,u}^n}$  Where:

Heparin parameter	Non-heparin feature impact
over $eta^n_{i,o}$	$\kappa^n_{i,o}$
under $eta^n_{i,u}$	$\kappa^n_{i,u}$

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- Baseline 1: Multinomial logistic regression using static features, without personalization
- Baseline 2: Multinomial logistic regression using all features, without personalization and excluding subjects with missing data (23.6%) of all patients
- **Baseline 3: Multilayer neural network.** Densely connected, feed-forward, two hidden layers, softmax output, ReLU activation, Xavier initialization, scaled conjugate gradient descent optimization, grid search topology selection.
- Baseline 4: Reinforcement learning via deterministic policy network. We defined the state, action, and rewards as follows: (1) State: aPTT and laboratory measures (2) Actions: maintain dose, increase dose, decrease dose. (4) Rewards: proportional to the aPTT error.

Features (N= 9684)	Mean	Standard Deviation	Missing Data (%)
Static Features			
Age	68.01	14.91	0.00
Gender (%Male)	58	-	0.00
ICU Type (%Surgical)	35	-	0.00
Ethnicity (%White)	69	-	0.00
End Stage Renal Disease (%)	3	-	0.00
Pulmonary Embolism (%)	9	-	0.00
<b>Continuously Measured Features</b>			
Heparin Dose (units/kg)	11.79	4.11	6.88
White Blood Cell Count	12.26	6.35	6.23
Creatinine	1.58	1.48	5.18
Carbon Dioxide	24.61	4.67	5.69
Heart Rate (Mean)	84.81	17.12	0.01
Glasgow Coma Score	12.40	3.63	0.02
Hematocrit	31.50	4.65	4.27
Hemoglobin	10.63	1.66	6.45
Platelet Count	226.76	118.29	5.10
Urea	31.72	23.45	6.03
Temperature (F)	98.28	2.71	7.05
International Normalized Ratio	1.50	1.10	7.03
Prothrombin Time	15.22	3.99	0.12
Peripheral Capillary Oxygen Saturation	97.24	2.65	0.01

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- UFH misdoing is consistently error-prone even after multiple aPTT draws (and consequent opportunities for dose adjustment).
- 80% of our sample stopped receiving aPTT draws after their fifth adjustment
- 5% of the 3,883 patient with recorded aPTT values had a sixth dose adjustment.



2/3 of patients mis-dosed

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# Overall performance of personalized approach

- Highest overall accuracy (60%)
- Highest overall VUS (0.46), a 0.02 improvement over the RL approach
- 7.3% more likely to detect supra-therapeutic doses than the population model that didn't exclude patients

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# Temporal performance of personalized approach

Our approach consistently outperformed the best comparable baseline across time



# Temporal performance of personalized approach

Our approach might reduce errors, and bring patients to therapeutic aPTT, faster.



## Conclusion and Future Direction

- Heparin dosing guidelines are based on population models
- Patient-specific modeling has the potential to improve performance
- We are working to deploy this algorithm within the BIDMC for real-world impact

Questions and Collaborations: http://ghassemi.xyz **Todays Talk:** 

**1. Data collection:** Tool to translate paper data from hospital spreadsheets into digital form

#### **2. Decision support:**

Method to prognosticate coma outcomes after cardiac arrest

#### **3. Optimal Control:**

Al for passive monitoring of narrative mood during conversations

#### 4. Moving Forward

Future directions, and opportunities for collaboration

## **Future Directions**

- Building a more complete health profile using on-hospital and outof-hospital data
- This should include the input of clincial experts, directly.



Doctors rely on more than just data for medical decision making

Computer scientists find that physicians' "gut feelings" influence how many tests they order for patients.

## Predicting Latent Narrative Mood using Audio and Physiologic Data

As presented at AAAI-17



#### Experiment: Tell us a story



## Participants



## Modalities



#### Conversation score

Happy or Sad?

### Data

• Physiologic

Accelerometer, Bio-impedance, ECG, GSR, PPG, Skin Temperature, Gyroscope

#### • Audio

RMS Energy, MFCC, Pitch, Zero Crossing Rate, Voicing Probability

#### • Text

Positive/Negative Sentiment

## Data + Features

• Physiologic - 222

Accelerometer, Bio-impedance, ECG, GSR, PPG, Skin Temperature, Gyroscope {mean, median, variance}

• Audio - 386

RMS Energy, MFCC, Pitch, Zero Crossing Rate, Voicing Probability {mean, max, min, std, skew, kurtosis, range, absolute pos., linear regression offset/slope/mse}

• Text - 2

Positive/Negative Sentiment {mean}

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• Text - 2

Positive/Negative Sentiment {mean}



### There are many things we can look at



#### Want to use the most important



### Forward Feature Selection





Logistic Regression Model



Conversation Mood







70%



















60%











































































92%


































З Predicts Positive Narrations Negative Sentiment Audio Accelerometer Y Accelerometer Z PPG Sensor 1 PPG Signal Text MFCC 12 Physio 0 PPG Sensor 0 Voicing Probability RMS Energy က MFCC Predicts Negative -3 Narrations

Model Coefficients

## Narrative-Level Classification

Model	<b>AUC</b> (µ)	<b>AUC</b> (σ)	Percentile [25th 75th]
Weighted KNN	0.74	0.14	[0.68 0.85]
Medium KNN	0.74	0.14	[0.68 0.84]
Cubic KNN	0.76	0.10	[0.69 0.84]
Quadratic SVM	0.77	0.16	[0.64 0.90]
Coarse KNN	0.82	0.07	[0.77 0.89]
Quadratic Disc.	0.83	0.06	[0.79 0.89]
Gaussian SVM	0.86	0.07	[0.80 0.93]
Linear Disc.	0.90	0.07	[0.83 0.95]
Subspace Disc. Ens.	0.90	0.06	[0.85 0.95]
Logistic Regression	0.92	0.05	[0.88 0.95]

### **Conversation score**

Happy or Sad?

### **Conversation score**

Happy or Sad?

#### Segment score

|--|

5 seconds



#### Sad Stories



### Neural networks are a powerful solution



# Optimize NN size

Number of Layers : {0,1,2}

Number of Nodes in each Layer : [1-15]

Num. of Examples / Num. of Features > 10





#### But there is yet more optimization







- 3<sup>10</sup> possible configurations
- Explored random 10% the space











# Segment-Level Classification

Model	Accuracy (%) (µ)	<b>Accuracy</b> (%) (σ)	Percentile [25 <sup>th</sup> 75 <sup>th</sup> ]
Random	33.3	-	-
Multinomial Logistic Reg.	40.8	7.36	[34.1 46.0]
NN (2L-6x3N)	45.3	8.10	[38.5 49.0]
+ Feature Optimization	47.3	8.72	[39.9 55.1]





#### Learn More

http://ghassemi.xyz